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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(\$4) Title: A NOVEL POLYHERBAL PREPARATION FOR THE PREVENTION OF ATHEROSCLEROSIS AND HYPERLIPI-

(57) Abstract: A polyherbal preparation for the prevention of atherosclerosis and hyperlipidemia comprising a mixture of Commiphora mukul, Boswellia serrata, Semecarpus anacardium Strychnos nux vomica, Termenalia arjuna and Shankha Bhusma.

A novel polyherbal preparation for the prevention of atherosclerosis and hyperlipidemia.

### FIELD OF INVENTION

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This invention relates to a novel polyherbal preparation for the prevention of Atherosclerosis and Hyperlipidem ia.

## BACKGROUND OF INVENTION

Atherosclerosis is one of the major problems for young age death. It is an active process of inflammation and cell proliferation. It starts when normal vascular functions go away. Basically, there is blockage in the coronary artery, which leads to the heart attack. This blockage could be due to deposition of lipid, formation of wound or sudden release of lipid from the endothelial wall due to bursting of plaque. Slow and gradual deposition of fat in the intimal layer of artery is called fatty lesion or plaque. Slowly these fatty lesions get fibrosed and calcium is deposited in it. Initially, it is a reversible process but after fibrosis, it becomes irreversible. In fact, fat deposition in the blood vessel is a natural process with aging, but in some individuals, its rate of formation is significantly high and therefore leads to pathological state of coronary artery disease.

There are several reasons for this deposition. However, the basic cause is considered to be the faulty metabolism of lipid in the body. High cholesterol diet or high level of endogenous cholesterol synthesis in the body is the basic cause of artherosclerosis. Of course, there are several precipitating

factors for this pathology, such as stress, smoking, diabetes, hypertension, age, male sex, family history leading to elevated homocystein, high serum lipoprotein-a and infection with cytomegalovirus or chlamydia. More free radical production leading to rapid oxidation of LDL, followed by the excess uptake of oxidized LDL by the macrophages leading to the formation of foam cells is the basic pathology.

#### PRIOR ART

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As this disease is multi-factorial, there are several approaches to manage artherosclerosis. First and foremost, it is to reduce the lipid load in the body or to increase the HDL content or to reduce the burden of free radicals and oxidized LDL or to remove foam cells and fatty lesions. There are two major steps, (a) Prevention of the formation of fatty plaque; (b) Regression of plaque already formed. At present, there are two main approaches for the management of atherosclerosis (1) Invasive techniques; and (2) Noninvasive techniques. In the non-invasive group of techniques, the most prominent approach is to lower the blood lipid, specially the cholesterol and triglycerides. In this approach, the main pathway is to inhibit the endogenous cholesterol synthesis by blocking the HMG-Co synthase. A drug known is different kind of Statins. In this way, there is reverse cholesterol transport from the tissue to the blood leading to the lowering of the LDL and VLDL. Several medicinal plants products are also available with a hypolipidemic claims, eg. Commiphora mukul, Terminalia arjuna, Acorus calamus, etc.. In fact, ayurvedic literature discloses such plant names, but not much scientific study has been made with these plants.

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Yet another approach is to increase the HDL in the blood. Unfortunately, there is no good medicine, which can increase the serum HDL. The exercise is the only way to achieve this goal. Use of cow butter/milk has also shown the property of raising serum HDL upto some extent, but it can not be used as a medicine in the patients of hyperlipidem in and artherosclerosis.

Third approach is to prevent the oxidation of LDL in the blood, because ox LDL is the basic cause of foam cell formation and thereafter its deposition in the arterial wall, forming artherosclerotic plaque. To achieve this goal, antioxidants are being recommended as the diet supplements. Although the use of antioxidants has increased significantly as a diet supplement in the management of artherosclerosis and other coronary artery diseases, but does not fall in the group of therapeutic medicine, because of its non-specific role. Metal chelaters are also used to prevent the formation of free radicals, because iron mediated Fenton's reaction is one the basic cause of hydroxyl radical production.

After knowing the molecular pathway of atheroma formation, gene therapy is being tried in the management of this disease. It is reported that stable atheroma is not as dangerous as the unstable one. For this unstability, a group of proteases known as MMPs (Matrix Metallo proteinases) are responsible. In fact, they digest the fibrous cap of the plaque and allow the lipid to come out of the plaque and block the arterial blood flow.

Attempts are now being made to introduce the genes to inhibit these MMPs. Similarly, the most recent approach of gene therapy is to inhibit a growth factor M-CSF (Macrophage colony stimulating factor), which is responsible

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for the proliferation of smooth muscle cells and rapid formation of foam cell leading to their deposition.

One more approach to manage artherosclerosis is to regulate the inflammatory cytokines and various enzymes like lipoxygenase and cyclooxygenase, because inflammation is one of the basic factors, responsible for plaque formation.

Since artherosclerosis is a multiethiological factor disease, so doctors recommend a series of medicine to manage this disease and still the disease is not manageable because of uncoordinated approach. However, there is no medicine which can target several etiological factors simultaneously by giving one tablet. The patient is supposed to take several medicines in a day, which gives him a kind of psychological depression. These medicines, when given in isolation does not give significant impact on the prevention of atheromaformation, because other factors become more prominent. The genetherapy, which is being developed is at the infancy stage and if at all, it comes to the public use, it will be very expensive and also with several side effects, only the time will tell for its success.

There are many claims to prevent the formation of plaque, by reducing the risk factors, by taking more antioxidants or by lowering the cholesterol by the use of several hypolipidemic drugs like Statins, etc. Once atheroma is detected, the coronary bypass, etc. are the only remedies. In fact, no good drug is available to regress the plaque, already formed.

## OBJECTS OF THE INVENTION

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An object of this invention is to propose a novel polyherbal preparation which has the capacity to target several etiological pathways, and finally lead to atherosclerosis.

Another object of this invention is to propose a novel polyherbal preparation which is anti-inflammatory, anti-oxidant and increases serum HDL, and more specifically, it inhibits Lox-15, Cox-2 and Ca-deposition in the plaque, increases Collagen in the chronic plaques, increases serum HDL and decreases serum TG.

Still another object of this invention is to propose a novel polyherbal preparation which enhances serum HDL and prevents plaque formation even in the presence of high-serum lipid.

Yet another object of this invention is to propose a novel polyherbal preparation which enhances the collagen tissue in the old plaque indicating towards the stabilization of the plaque.

A further object of this invention is to propose a novel polyherbal preparation which inhibits the Cyclooxygenase-2 and lipoxygenase-15, which are responsible for atherosclerosis.

A still further object of this invention is to propose a novel polyherbal preparation which is cost-effective, more effective than its component medicinal plant and does not have any toxic or side effect with high therapeutic safety margin.

### **DESCRIPTION OF INVENTION**

According to this invention, there is provided a polyherbal preparation for the prevention of atherosclerosis and hyperlipidem is comprising a mixture

Rubia cordifolia

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of Commiphora mukul, Bosewellia serrata, Semecarpus anacardium Strychnos nux vomica, Termenalia arjuna and Shankha Bhusma.

The polyherbal composition may further include Rubia cordifolia, Bacopa monnieri, Triphala and Trikatu.

In accordance with this invention, the constituents are present in the 5 following ratio:

Purified Commiphora mukul	1 to 4
Pure Boswellia serrata	0.5 to 4
Purified Semecarpus anacardium	0.1 to 0.4
Purified powder Strychnos nux vomica	0.4 to 2
Pure powder of water extract Termenalia arjuna	0.3 to 2
Shankha Bhusma	0.5 to 2

Further, any one or more of the following constituents are added in the following ratio:

15	Rubia cordifolia	0.05 to 1
	or Bacopa monnieri	0.5 to 3
	or Triphala	0.5 to 3
	and Trikatu	0.5 to 3
	Specifically, an advantageous ratio is:	
20	Purified Commiphora mukul	3.7
	Pure Boswellia serrata	3.0
	Purified Semecarpus anacardium	0.1
	Purified powder Strychnos nux vomica	1.0

Pure powder-water extract Termenalia arjuna bark	0.7
Shankha Bhusma	1.5

#### EXAMPLE

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# Composition of atherogenic diet:

Antherogenic diet consists of cholesterol rich-rabbit chew, cabbage and gram in the same amount as in control rabbits. Atherogenic diet is made as follows:

The chow is powdered and mixed with the following items in a specific ratio as given below and again pellet is made. It is dried in oven and kept in refrigerator. At one time, diet was prepared only for 4 days.

## Composition of diet:

t chow	57%
owder	14%
powder	04%
•	01%
	0.1%
	05%
genated fat	17%
acid	01%
	t chow  owder  powder  vitam in  sterol  genated fat  acid

## 20 Experimental details:

Male rabbits were randomly divided into 3 groups, having 12 animals in each. They were kept for 15 days for acclimatization in the laboratory condition. During this period, de-worming was done to each animal and

Hostacycline and Vimeral was given in drinking water. The animals were divided into the following groups:

Control diet (CD)

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Atherogenic diet (AD)

Atherogenic diet - BHUx 60mg/100g body weight (AD<sub>40</sub>)

Control diet consists of rabbit chow, cabbage and gram 400g/day and water ad libitum.

Atherogenic diet was given to the rabbits in the control group, 3 months later, BHUx was given in the experimental group alongwith the atherogenic diet for another 3 months. Therefore, total duration of the experiment was of 6 months. After every one month, lipid profile was carried out in blood and at the end of experiment, animal was sacrificed and heart, liver, kidney, dorsal aorta were saved. These tissues were processed for histological studies. Sections of 5 micron thickness were cut and stained with different stains. In the AD groups (Experimental control) only 2 ml of gum acacia suspension in distilled water (5%) was given in the similar way. Lipip profile was carried out by using Zydus Pathline kits (a group and Cadila Healthcare Ltd.) in terms of cholesterol, TG, LDL and HDL. After 3 months, animals were sacrificed to collect heart and dorsal aorta.

## (A)Histology:

(1) Study with Dorsal Aorta – It was separated from the heart at the point of aortic arch origin and longitudinally cut open. It was stained in Sudan IV stain. After making a tracing of the atherogenic patches, the tissue was fixed and processed for block preparation and section cutting.

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- (2) Study with a ortic arch and coronary artery Whole heart was divided into 2 parts, named H<sub>1</sub> and H<sub>2</sub>. The upper H<sub>1</sub> part was cut at 6 u thickness and stained with Hematoxylin and Eosin (H&E). Microscopic study was made in the region of a ortic arch and coronary artery with reference to intimal thickening. These sections were separately stained with specific stains for the visualization of collagen tissue and calcium deposition.
- (3) Study with kidney and liver Sections were stained with H & E and with AgNO3 separately to evaluate the degree of fibrosis and necrosis.
- (B) Biochemical tests Blood of each animal was selected and plasma/serum was isolated as per need to assay SGOT, SGPT, Alkaline phosphatase and complete lipid profile.
- (C) In vitor assay To study the effect of the preparation of the present invention on cyclooxygenase and lipoxygenase, in vitro enzyme assay was carried out by using standard oxygraph technique. The results show that the preparation of the present invention is more sensitive to Cox-2 inhibition than the Cox-1. Similarly, on Lipoxygenase assay, it showed high sensitivity to the 15-Lipoxygenase than the other isoenzymes.

Brief description of the accompanying drawings and tables related to result:

Fig.1: Bar diagram showing lipid profile with raised HDL.

#### WE CLAIM:

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- A polyherbal preparation for the prevention of atherosclerosis and hyperlipidemia comprising a mixture of Commiphora mukul, Boswellia serrata, Semecarpus anacardium Strychnos nux vomica, Termenalia arjuna and Shankha Bhusma.
- 2. A polyherbal preparation as claimed in claim 1 wherein the constituents are present in the following ratio:

Purified Commiphora mukul	1 to 4
Pure Boswellia serrata	0.5 to 4
Purified Semecarpus anacardium	0.1 to 0.4
Purified powder Strychnos nux vomica	0.4 to 2
Pure powder of water extract Termenalia	
arjuna	0.3 to 2
Shankha Bhusma	0.5 to 2

- 3. A polyherbal preparation as claimed in claim 1 comprising Rubia cordifolia, Bacopa monnieri, Triphala and Trikatu.
  - 4. A polyherbal preparation as claimed in claim 3 wherein the following constituents are added in the following ratio:

	Rubia cordifolia	0.05 to 1
20	or Bacopa monnieri	0.5 to 3
	or Triphala	0.5 to 3
	and Trikatu	0.5 to 3

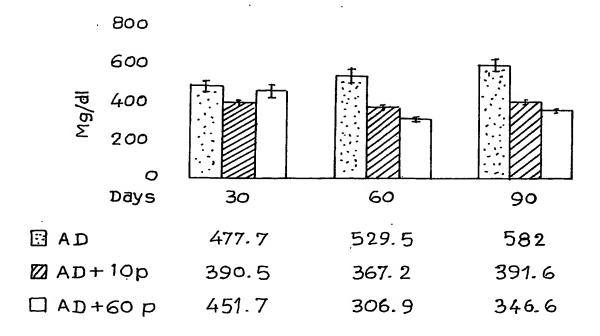
5. A polyherbal preparation as claimed in claim 1 wherein the constituents are present in the ratio of:

Purified Comminhora mukul 3.7

Purified Commiphora mukul	3.7
Pure Boswellia serrata	3.0
Purified Semecarpus anacardium	0.1
Purified powder Strychnos nux vomica	1.0
Pure powder-water extract Termenalia arjuna	
bark	0.7
Shankha Bhusma	1.5

6. A polyherbal preparation as herein described and illustrated in the Examples.

## Total Cholesterol



Trigly cerides

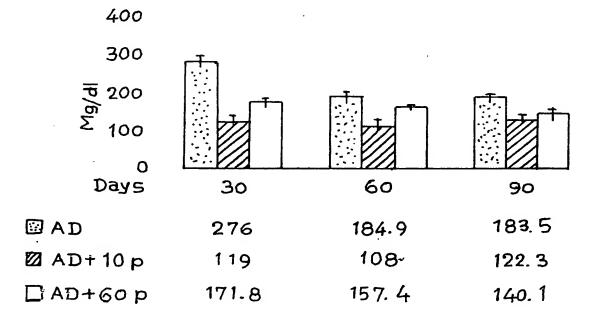


Fig. 1

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# HDL - Cholesterol

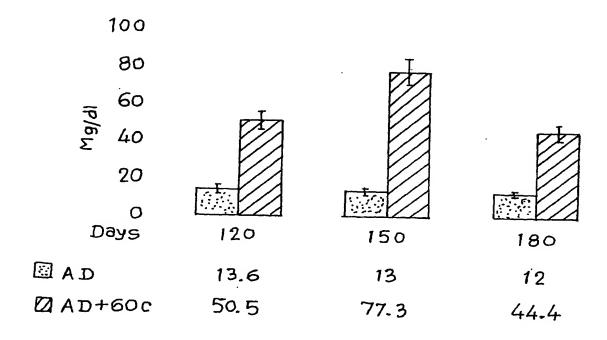


Fig. 1

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(71) Applicants (for all designated States except US): DE-PARTMENT OF BIOTECHNOLOGY [IN/IN]; Block 2, 7th Floor, CGO Complex, Lodi Road, New Delhi 110 003 (IN). BANARAS HINDU UNIVERSITY [IN/IN]; Varanasi 221 005 (IN).

(72) Inventor; and

- (75) Inventor/Applicant (for US only): TRIPATHI, Yamini, Bhushan [IN/IN]; Department of Medicinal Chemistry, Institute of Medical Sciences, Banaras Hindu University, Varanasi 221 005 (IN).
- (74) Agents: DAVAR, G., S. et al.; L.S. Davar & Co., "Monalisa", 17 Camac Street, Calcutta 700 017 (IN).

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## INTERNATIONAL SEARCH REPORT

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CL	ASSIFICATION OF SUBJECT MATTER		
	A61K 35/78		
Accordin	g to International Patent Classification (IPC) or to both	national classification and IPC	
	n documentation searched (classification system follower	d by classification symbols)	
IPC7: A	\61K		
Documen	tation searched other than minimum documentation to t	he extent that such documents are included i	n the fields searched
Electroni	c data base consulted during the international search (na	me of data base and, where practicable, sear	ch terms used)
	EPODOC, PAJ, medline, HCAplus	, , , , , , , , , , , , , , , , , , , ,	on totals used)
C. DO	CUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where approprie	ate, of the relevant passages	Relevant to claim No.
<b>A</b>	Sigh R.B. et al., "Hypolipidemic and a Commiphora mukul adjunct to dietary hypercholesterolen", Cardiovasc. Drupages 659-664.	therany in nationts with	1, 2, 5
A	J Munasighe T.C. et al.," antiradical effects to some plant extracts used in medical pratitioners for cardioprotecti Sept; 15(6); pages 519-523.	Sri Lankan traditional	1, 2, 5
A	FR 2465484 A (RATSIMAMANGA) : abstract.	24 April 1981 (24.04.1981)	1, 2, 5
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Furtl	ner documents are listed in the continuation of Box C.	See patent family annex.	
* Special	categories of cited documents:	"T" later document published after the internation	mal filime data and it is
"A" docum, conside	ent defining the general state of the art which is not ared to be of particular relevance	date and not in contlict with the application	but cited to understand
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cited to	establish the publication date of another citation or other	when the document is taken alone "Y" document of particular relevance; the claims	ed invention connot be
.O" docum	reason (as specified) ent referring to an oral disclosure, use, exhibition or other	considered to involve an inventive step whe	n the document is
means		combined with one or more other such docu being obvious to a person skilled in the art	
the prio	ent published prior to the international filing date but later than rity date claimed	"&" document member of the same patent family	у
Date of the	e actual completion of the international search	Date of mailing of the international search	pnort
	17 August 2005 (17.08.2005)	17 August 2005 (17.0	•
	mailing adress of the ISA/AT	Authorized officer	
	Patent Office	KRENN M.	
	er Straße 87, A-1200 Vienna		
	No. 1/53424/535 /ISA/210 (second sheet) (July 1998)	Telephone No. 1/53424/435	
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### **INTERNATIONAL SEARCH REPORT**

International application No. PCT/IN 2003/000399

Bo	ox I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
Tr	nis inte	ernational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.		Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2.	×	Claims Nos.: 6 because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  According to PCT-Rule 6.2. claims shall not rely, in respect to the technical features of the invention on references to the description.
	••	invention, on references to the description (examples).
3.		Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Bo	x II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
Th	is Inte	emational Searching Authority found multiple inventions in this international application, as follows:  1., Polyherbal preparation according to claims 1,2,5  2., Polyherbal preparation according to claims 3,4
1.	<b>.</b>	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.		As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.		As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.	Ø	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  1, 2, 5
Re	mark	on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.





Information on patent family members

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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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